

## APPENDIX 1 COMPLETE LIST OF AUDIT WORK 2020/21

<b>Audit Title</b>	<b>Audit Period</b>	<b>Audit Type</b>	<b>Audit Status</b>	<b>Current Audit Score</b>	<b>Opinion</b>
Crumlin High Level Primary School	April 2019	Themed Review	Audit Work Approved	38.44%	o/s
Coed Y Brain Primary School	April 2019	Themed Review	Audit Work Approved	49.59%	o/s
Machen Primary School	May 2019	Themed Review	Audit Work Approved	o/s	o/s
Pengam Primary School	May 2019	Themed Review	Audit Work Approved	o/s	o/s
Deri Primary School	May 2019	Themed Review	Audit Work Approved	48.12%	o/s
Cwmfelinfach Primary School	May 2019	Themed Review	Audit Work Approved	o/s	o/s
Fleur De Lys Primary School	May 2019	Themed Review	Audit Work Approved	70.12%	o/s
Libanus Primary School	May 2019	Themed Review	Audit Work Approved	o/s	o/s
Glyngaer Primary School	June 2019	Themed Review	Audit Work Approved	66.08%	Effective with opportunity to improve
Rhydri Primary School	June 2019	Themed Review	Audit Work Approved	o/s	o/s
Pontllanfraith Primary School	September 2019	Establishment Audit	Audit Completed	96.84%	Effective with opportunity to improve
Pontllanfraith Primary School	September 2019	Themed Review	Audit Work Approved	o/s	o/s
Penllwyn Primary School	October 2019	Themed Review	Audit Work Approved	74.71%	Effective with opportunity to improve
Risca Primary School	October 2019	Establishment Audit	Audit Work Approved	98.58%	Effective
Risca Primary School	October 2019	Themed Review	Audit Started	58.33%	o/s
Idris Davies School 3-18	September 2019	Establishment Audit	Audit Completed	96.94%	Effective with opportunity to improve
Llanfbon Infants	October 2019	Themed Review	Audit Work Approved	o/s	o/s
Aberbargoed Primary School	October 2019	Themed Review	Audit Work Approved	o/s	o/s
Llanfabon Infants School	October 2019	Establishment Audit	Audit Completed	99.06%	Effective

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Islwyn High School	October 2019	Establishment Audit	Audit Completed	91.96%	Effective with opportunity to improve
Blackwood Primary School	November 2019	Establishment Audit	Audit Completed	95.20%	Effective with opportunity to improve
NFI payroll matches to purchase ledger	April 2019	Regularity	Audit Completed	62.00%	In need of improvement
NFI matches payroll to Companies House Directors records	April 2019	Regularity	Audit Completed	70.00%	Effective with opportunity to improve
Schools IT Inventory Audit	November 2019	Themed Review	Audit Approved	o/s	o/s
NFI data match - Duplicate payments	April 2019	Regularity	Audit Completed	85.00%	Effective with opportunity to improve
NFI match Duplicated creditor records	April 2019	Regularity	Audit Completed	85.00%	Effective with opportunity to improve
NFI Matches review- Council Tax reduction scheme matches	January 2020	System Audit	Audit Completed	100.00%	Effective
NFI match investigations Housing Benefits	January 2020	Regularity	Audit Completed	100.00%	Effective
IT Inventory Audit	January 2020	Themed Review	Audit Completed	56.22%	o/s
£500 Carers grant payments and validation	November 2020	Grant certification	Audit Completed	100.00%	Effective
Gilfach Fargoed Primary School	February 2020	Establishment Audit	Audit Work Approved	93.30%	Effective
Trinant Primary School	February 2020	Themed Review	Audit Work Approved	73.11%	o/s
Ysgol Gymraeg Cwm Derwen	February 2020	Themed Review	Audit Started	o/s	o/s
Ysgol Ifor Bach	February 2020	Themed Review	Audit Work Approved	85.71%	o/s
Gilfach Fargoed Primary School	February 2020	Themed Review	Audit Work Approved	73.62%	Effective with opportunity to improve

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Park Primary School	February 2020	Establishment Audit	Audit Completed	86.68%	Effective with opportunity to improve
Ysgol Bro Allta	February 2020	Establishment Audit	Audit Completed	80.20%	Effective with opportunity to improve
Rents High level Internal control review	March 2020	System Audit	Audit Closed	98.89%	Effective
Blackwood Primary School	February 2020	Themed Review	Audit Started	92.50%	Effective
NFI matches	January 2020	Regularity	Audit Completed	100.00%	Effective
Payroll high level internal controls	March 2021	System Audit	Audit Completed	92.31%	Effective
EIG 2020	September 2020	Grant certification	Audit Completed	95.36%	Effective
PDG 2020	September 2020	Grant certification	Audit Completed	96.36%	Effective
NFI VAT mismatches report investigation	November 2019	Regularity	Audit Completed	70.00%	In need of improvement
Cwmfelin Boiler replacement	December 2020	Contract Audit	Audit Completed	100.00%	Effective
School Private Fund Review	January 2021	Themed Review	Audit Started	29.19%	o/s
TH1152 Rectory Gardens Machen Culvert final account	January 2021	Contract Audit	Audit Completed	100.00%	Effective
NFI 707 Duplicate record by reference, amount and creditor reference	January 2021	Crosscutting Review	Audit Work Approved	100.00%	Effective
NFI 708 Duplicate record by creditor reference and amount	January 2021	Regularity	Audit Completed	100.00%	Effective
NFI 711 Duplicate record by differing creditor reference same amount invoice number	January 2021	Regularity	Audit Completed	100.00%	Effective
NFI 712 Duplicate supplier records by invoice amount	January 2021	Regularity	Audit Completed	100.00%	Effective

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and different creditor reference					
NFI 713 Duplicate supplier records by postcode	January 2021	Regularity	Audit Completed	100.00%	Effective
Corporate Safeguarding self evaluation	January 2021	Crosscutting Review	Audit Started	o/s	o/s
Review of AP forensics processes	January 2021	System Audit	Audit Completed	100.00%	Effective
Data protection and PCI e-learning record keeping and compliance	February 2021	Regularity	Audit Started	o/s	o/s
Penllwyn Primary Control risk self assessment	February 2021	Control Risk Self-Assessment	Audit Started	94.64%	o/s
St James Primary School Control risk self assessment	February 2021	Establishment Audit	Audit Approved	o/s	o/s
Bryn Primary	February 2021	Control Risk Self-Assessment	Audit Work Approved	98.08%	o/s
TH1175 B4251 Gelligroes to Ynysddu	February 2021	Contract Audit	Audit Completed	100.00%	Effective
TH1174 Libanus Culvert Access Platform	February 2021	Contract Audit	Audit Completed	100.00%	Effective
TH1161 Footway Slurry Sealing	March 2021	Contract Audit	Audit Completed	94.17%	Effective
European grants	January 2021	Regularity	Audit Completed	100.00%	Effective
PCI	March 2021	Regularity	Audit Completed	86.67%	Effective with opportunity to improve
NFI 709 - VAT Overpayment	April 2021	Regularity	Audit Started	o/s	o/s
Central Income management	March 2021	System Audit	Audit Completed	93.89%	Effective
Trinity Fields School Courtyard classroom	March 2021	Contract Audit	Audit Completed	100.00%	Effective

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Ysgol Gymraeg Cwm Derwen	March 2021	Control Risk Self-Assessment	Audit Started	96.82%	o/s
Plas Y Felin Primary	March 2021	Control Risk Self-Assessment	Audit Started	99.65%	o/s
Ysgol Gymraeg Penalltau	March 2021	Control Risk Self-Assessment	Audit Started	94.29%	o/s
Ty Isaf Infants	March 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Cefn Fforest Primary	March 2021	Control Risk Self-Assessment	Audit Started	96.00%	o/s
Trinity Fields	March 2021	Control Risk Self-Assessment	Audit Started	95.84%	o/s
Newbridge Comprehensive	March 2021	Control Risk Self-Assessment	Audit Started	93.86%	o/s
Lewis School Pengam	March 2021	Control Risk Self-Assessment	Audit Started	99.53%	o/s
Bedwas Juniors	March 2021	Control Risk Self-Assessment	Audit Started	o/s	o/s
Crumlin High Level	March 2021	Control Risk Self-Assessment	Audit Work Approved	98.84%	o/s
Deri Primary	March 2021	Control Risk Self-Assessment	Audit Started	96.35%	o/s
St Helens Primary	March 2021	Control Risk Self-Assessment	Audit Work Approved	96.99%	o/s
Tir Y Berth Primary	March 2021	Control Risk Self-Assessment	Audit Work Approved	96.98%	o/s
Cwm Ifor Primary	March 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Ynysddu Primary	March 2021	Control Risk Self-Assessment	Audit Started	95.53%	o/s
Fochrhiw Primary	March 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s

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Derwendeg Primary	March 2021	Control Risk Self-Assessment	Audit Started	96.53%	o/s
Blackwood Comprehensive	March 2021	Control Risk Self-Assessment	Audit Work Approved	o/s	o/s
NNDR COVID grants High level review	March 2021	System Audit	Audit Started	o/s	o/s
Treasury management high level review	March 2021	System Audit	Audit Completed	98.59%	Effective
Cwmfelinfach Primary	April 2021	Control Risk Self-Assessment	Audit Started	95.53%	o/s
Central Debtors income	March 2021	System Audit	Audit Completed	97.37%	Effective
Rents - Collection, Recovery and Administration	March 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Ty Sign Primary	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Ysgol Cwm Gwyddon	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Pantside Primary	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Pentwynmawr Primary	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Abercarn Primary	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Cwmcarn Primary	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Hengoed Primary	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Tynewydd Primary	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Cwmaber Infants	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s

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Maescwmmmer Primary	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Upper Rhymney Primary	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Pengam Primary	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
St Gwladys Primary	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Waunfawr Primary	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Cwmglas Infants	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Tyn Y Wern Primary	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Nant Y Park Primary	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Graig Y Rhacca Primary	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Markham Primary	April 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Community Hydro	April 2021	Grant certification	Audit Work Approved	100.00%	o/s
Phillipstown Primary	May 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Fleur De Lys Primary	May 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Go Connect Virtual Inclusion Programme	April 2021	Grant certification	Audit Completed	100.00%	Effective
SR217 Rose Cottage Retaining Wall	May 2021	Contract Audit	Audit Approved	o/s	o/s
Greenhill Primary	May 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s

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Bedwas Infants	May 2021	Control Risk Self-Assessment	Audit Approved	o/s	o/s
Central Creditors and PL Internal Controls	January 2021	System Audit	Audit Started	83.33%	o/s
Ysgol Ifor Bach	March 2021	Control Risk Self-Assessment	Audit Started	99.33%	o/s